

STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

Docket No.  
57622-048 (ELZ-8)

In Re Application Of: Lucas Merrow, et al.

JUL 15 2004

JUL 15 2004

Examiner

Elahee, MD S

Application No.	Filing Date	Customer No.	Group Art Unit	Confirmation No.
09/845,282	August 31, 2001	23630	2697	

Invention: SPEECH RECOGNITION METHOD AND SYSTEM FOR DETERMINING THE STATUS OF AN  
ANSWERED TELEPHONE DURING THE COURSE OF AN OUTBOUND TELEPHONE CALL

RECEIVED

JUL 19 2004

COMMISSIONER FOR PATENTS:

Technology Center 2600

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

07/16/2004 HAL111 00000075 09945282

180.00 DP

01 FC:1806

  
Signature

Dated: July 13, 2004

Toby H. Kusmer  
Reg. No. 26,418  
McDermott, Will & Emery LLP  
28 State Street  
Boston, MA 02109  
Telephone: 617-535-4065  
Facsimile: 617-535-3800  
e-mail: tkusmer@mwe.com

Certificate of Mailing by First Class Mail

I certify that this document is being deposited on  
July 13, 2004 with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450.

  
Signature of Person Mailing Correspondence

CC:

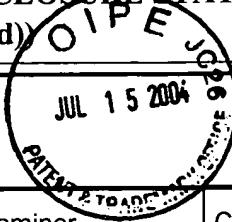
Cynthia Joseph

Typed or Printed Name of Person Mailing Correspondence

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(d))

Docket No.  
57622-048 (ELZ-8)

In Re Application Of: Lucas Merrow, et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/845,282	August 31, 2001	Elahee, MD S	23630	2697	

Title: Speech Recognition Method And System For Determining The Status Of An Answered Telephone During  
The Course Of An Outbound Telephone Call

RECEIVED

JUL 19 2004

Address to:  
Commissioner for Patents

Technology Center 2600

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).

A check in the amount of \$180.00 is attached.

Charge Deposit Account No. in the amount of

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Toby H. Kusmer

Reg. No. 26,418

McDermott, Will & Emery LLP

28 State Street

Boston, MA 02109

Telephone: 617-535-4065

Faximile: 617-535-3800

e-mail: tkusmer@mwe.com

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited *July 13, 2004* with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Cynthia Joseph

Typed or Printed Name of Person Mailing Certificate

Dated: July 13, 2004

CC:


**INFORMATION DISCLOSURE CITATION**
*(Use several sheets if necessary)*

Docket Number (Optional)

**57622-048 (ELZ-8)**

Application Number

**09/845,282**

Applicant(s)

**Lucas Merrow, et al.**

Filing Date

**August 31, 2001**

Group Art Unit

**2697**
**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		<b>5,638,424</b>	<b>06-10-97</b>	<b>Denio, et al.</b>	<b>379</b>	<b>67</b>	
							<b>RECEIVED</b>
							<b>JUL 19 2004</b>
							<b>Technology Center 2600</b>

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	<b>WO 98/52340</b>	<b>11-19-98</b>	<b>PCT</b>				
	<b>WO 92/08309</b>	<b>05-14-92</b>	<b>PCT</b>				

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	Hunt, M. J., et al., Survey of the State of the Art in Human Language Technology, National Science Foundation Directorate XIII-E of the Commission of the European Communities Center for Spoken Language Understanding, Oregon Graduate Institute, November 21, 1995, pp. 11-69.
	Cox, R. V., et al., Speech and Language Processing for Next-Millennium Communications Services, Proceedings of the IEEE, Vol. 88, No. 8, August 2000, pp. 1314-1337.

**EXAMINER**
**DATE CONSIDERED**
**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**